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PTO/SB/21 (modified)
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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

| | | | |
|--|-----------------------|------------------------|------|
| TRANSMITTAL FORM <i>(to be used for all correspondence during pendency of filed application)</i> | Application Number | 09/413,012 | |
| | Filing Date | October 5, 1999 | |
| | First Named Inventor | Albert K. Chin | |
| | Group Art Unit Number | Not Yet Known | |
| | Examiner Name | Not Yet Known | |
| Total Number of Pages in This Submission | 7** | Attorney Docket Number | 4496 |

| ENCLOSURES (check all that apply) | |
|---|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form (in duplicate) <input type="checkbox"/> Check Enclosed | <input type="checkbox"/> Issue Fee Transmittal |
| <input checked="" type="checkbox"/> Return Receipt Postcard | <input type="checkbox"/> Letter to Chief Draftsperson |
| <input type="checkbox"/> Response to Notice to File Missing Parts | <input type="checkbox"/> Formal Drawing(s): [] Sheet(s) of Figure(s) [] |
| <input type="checkbox"/> Assignment & Recordation Cover Sheet | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input type="checkbox"/> Declaration | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> Small Entity Statement | <input type="checkbox"/> Certified Copy of Priority Document(s) |
| <input checked="" type="checkbox"/> Information Disclosure Statement & PTO-1449 <input checked="" type="checkbox"/> Copies of IDS Cited References | <input type="checkbox"/> After Allowance Communication to Group |
| <input type="checkbox"/> Request for Corrected Filing Receipt | <input type="checkbox"/> |
| <input type="checkbox"/> Request for Correction of Recorded Assignment | <input type="checkbox"/> |
| <input type="checkbox"/> Amendment/Response: [] Page(s) <input type="checkbox"/> After Final | <input type="checkbox"/> |
| <input type="checkbox"/> Status Request | <input type="checkbox"/> |
| <input type="checkbox"/> Revocation and Power of Attorney | <input type="checkbox"/> |

REMARKS: ** "Total Number of Pages in This Submission" does not include cited reference.

| SIGNATURE OF ATTORNEY OR AGENT | | | |
|--------------------------------|-----------------------------|--------|---------|
| Signature: | | | |
| Attorney/Reg. No.: | Dana S. Rao/Reg. No. 43,875 | Dated: | 11/5/99 |

| CERTIFICATE OF MAILING | | | |
|---|-------------|--------|---------|
| I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10. | | | |
| Signature: | | | |
| Typed or Printed Name: | Dana S. Rao | Dated: | 11/5/99 |
| Express Mail Mailing Number (optional): | | | |

0002/PTO(modified) U.S. Department of Commerce
Rev. 10/95 Patent and Trademark Office

FEE TRANSMITTAL

TOTAL AMOUNT OF PAYMENT

Subtotal (1) + Subtotal (2) + Subtotal (3) = **(\$).00**

Complete if Known

| | |
|------------------------|-----------------|
| Application Number | 09/413,012 |
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| First Named Inventor | Albert K. Chin |
| Group Art Unit | Not Yet Known |
| Examiner Name | Not Yet Known |
| Attorney Docket Number | 4496 |

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to:

- ☐ Charge the indicated fees to the below mentioned deposit account.
- ☒ Charge any additional fee required under 37 CFR 1.16 - 1.21 or credit any over payments to the below mentioned deposit account.†
- ☐ Charge the Issue Fee set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.311(b) to the below mentioned deposit account.

Deposit Account Number: 19-2555
Deposit Account Name: FENWICK & WEST LLP

A Duplicate Copy of this authorization is attached

2. ☐ Payment Enclosed:
[] Check [] Other

FEE CALCULATION (fees effective 11/12/98)

1. FILING FEE

| Large Entity Fee Code/Fee | Small Entity Fee Code/Fee | Fee Description | Fee Due |
|------------------------------|------------------------------|--------------------|--------------------------|
| 101/\$760 | 201/\$380 | Utility Filing | <input type="checkbox"/> |
| 106/\$310 | 206/\$155 | Design Filing | <input type="checkbox"/> |
| 108/\$760 | 208/\$380 | Reissue | <input type="checkbox"/> |
| 114/\$150 | 214/\$75 | Provisional Filing | <input type="checkbox"/> |
| SUBTOTAL (1) | | | (\$).00 |

2. CLAIMS

| Large Entity Fee Code/Fee | Small Entity Fee Code/Fee | Fee Description |
|------------------------------|------------------------------|---|
| 103/\$18 | 203/\$9 | Claims in excess of 20 |
| 102/\$78 | 202/\$39 | Independent claims in excess of 3 |
| 104/\$260 | 204/\$130 | Multiple dependent claim |
| 109/\$78 | 209/\$39 | Reissue independent claims over original patent |
| 110/\$18 | 210/\$9 | Reissue claims in excess of 20 and over original patent |

3. ADDITIONAL FEES

| Large Entity Fee Code/Fee | Small Entity Fee Code/Fee | Fee Description | Fee Due |
|------------------------------|------------------------------|--|--------------------------|
| 105/\$130 | 205/\$65 | Surcharge - late filing fee or oath | <input type="checkbox"/> |
| 127/\$50 | 227/\$25 | Surcharge-late provisional filing fee or cover sheet | <input type="checkbox"/> |
| 147/\$2,520 | 147/\$2,520 | For filing a request for reexamination | <input type="checkbox"/> |
| 115/\$110 | 215/\$55 | Extension for response within first month† | <input type="checkbox"/> |
| 116/\$380 | 216/\$190 | Extension for response within second month† | <input type="checkbox"/> |
| 117/\$870 | 217/\$435 | Extension for response within third month† | <input type="checkbox"/> |
| 118/\$1,360 | 218/\$680 | Extension for response within fourth month† | <input type="checkbox"/> |
| 128/\$1,850 | 228/\$925 | Extension for response within fifth month† | <input type="checkbox"/> |
| 119/\$300 | 219/\$150 | Notice of Appeal | <input type="checkbox"/> |
| 141/\$1,210 | 241/\$605 | Petition to revive unintentionally abandoned application | <input type="checkbox"/> |
| 142/\$1,210 | 242/\$605 | Utility Issue Fee (Or Reissue) | <input type="checkbox"/> |
| 143/\$430 | 243/\$215 | Design Issue Fee | <input type="checkbox"/> |
| 122/\$130 | 122/\$130 | Petitions to the Commissioner | <input type="checkbox"/> |
| 123/\$50 | 123/\$50 | Petitions related to provisional applications | <input type="checkbox"/> |
| 126/\$240 | 126/\$240 | Submission of Information Disclosure Statement | <input type="checkbox"/> |
| 581/\$40 | 581/\$40 | Recording each patent assignment per property (times number of properties) | <input type="checkbox"/> |
| 146/\$760 | 246/\$380 | Filing a submission after final rejection (37 CFR 1.129(a)) | <input type="checkbox"/> |
| 149/\$760 | 249/\$380 | For each additional invention to be examined (37 CFR 1.129(b)) | <input type="checkbox"/> |
| Other fee (specify): | | | <input type="checkbox"/> |
| Other fee (specify): | | | <input type="checkbox"/> |
| SUBTOTAL (3) | | | (\$).00 |

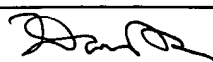
| (Col. 1) | | (Col. 2) | | (Col. 3) | | Fee | Fee Due |
|--|------------------------|----------|---------------------------------|----------|---------|-----|---------|
| For | No. of Existing Claims | minus* | Highest No. Previously Paid For | = | Extra** | | |
| TOTAL | | minus* | 20 or | = | x | | |
| INDEP | | minus* | 3 or | = | x | | |
| [] First presentation of multiple dependent claim | | | | | | | |

* Subtract the greater number of Col. 2

** If the difference between Col. 1 and Col. 2 is less than zero, then enter "0" in Col. 3

SUBTOTAL (2) **(\$).00**

SUBMITTED BY

| | | | |
|-----------------------|---|--------------------------|---------|
| Typed or Printed Name | Dana S. Rao | Complete (if applicable) | |
| Signature |  | Reg. Number | 43,875 |
| | | Date | 11/5/99 |

† Request for Extension of Time per 37 CFR 1.136 (a)(3) made hereby